



Priority Financial Group Advisors | Business Continuity Plan Summary

PFG Advisors (PFGA), a SEC registered investment adviser, maintains a Business Continuity Plan (BCP) for use in the event of significant business disruption (SBD). PFGA utilizes the broker/dealer services of Securities America, Inc. (SAI) along with our primary custodial partners: Charles Schwab, Fidelity, TD Ameritrade, and Pershing in conducting our daily business operations. We recognize the importance of being prepared for uncontrollable events, such as a pandemic that may disrupt our ability to provide services to you and we are committed to providing uninterrupted service through our BCP if there is a SBD.

PFGA has developed operational plans to provide and implement selected mitigation, prevention, protection or control measures, to decrease the threat of and impact from identified risks. Our BCP is designed to create a state of readiness that will provide an immediate response should there be a SBD.

The BCP is designed to quickly recover and resume operations, protect firm books and records, while allowing our customers to transact business. In the event we determine PFGA is unable to continue our business, we will direct our customers to the SAI service center at (800) 747-6111, or the appropriate investment custodian, for emergency assistance and access to funds.

While no contingency plan can eliminate all risk of service interruption, PFGA will continue to evaluate its BCP and take any appropriate actions to ensure that our resilience strategy minimizes the impact of any SBD and facilitates service continuity.

Questions regarding the BCP may be directed to:

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